

RUHR-UNIVERSITÄT BOCHUM

PQC in the Embedded World

Implementations, Side-Channel Attacks, and Countermeasures

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This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 780701.

June 28, 2022

- ▶ PQC = any cryptography that withstands attacks from large-scale quantum computers
- basic (asymmetric) primitives: PKE/KEM and signatures
- advanced primitives: (F)HE, ZKP etc.
- ▶ this talk: PQC (only basic primitives) in the embedded world





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The Embedded World



not embedded servers, laptops

embedded

smartcards, distributed sensor systems (IoT, cars, industry), ...

"Every computer that has no fan."



PQC and Embedded Systems: Requirements



small

- software: program memory and stack usage
- ► FPGAs: slices, LUTs, FFs, DSPs, BRAMs
- ► ASICs: area



secure

- passive side-channel attacks
- active side-channel attacks

3



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scheme	NIST security level	key gen (avį	encaps g. kcycle	decaps es)	program memory (kB)	key gen s	encaps tack (kB	decaps)	ref.
ECDH	-	327	9	06	8.9		≤2		[1]
Kyber	1	442	542	494	15	2.7	2.8	2.8	[2]
Saber	1	422	591	581	20	3.2	3.1	3.1	[2]
NTRU	1	2867	565	538	192	21	14	15	[2]
sNTRUp	1	6714	631	486	238	92	13	16	[2]
SIKE	1	48265	78911	84275	30	6	6	7	[2]
BIKE	1	65551	4963	116657	35	44	32	91	[2]
McEliece	1	1430811	582	2707	621	115	1.4	18	[3]

- Kyber and Saber are competitive to ECDH!
- ▶ NTRU variants may be used for use cases without key generation
- McEliece suitable for encaps-only use cases
- McEliece: lower memory footprint possible by streaming in the public key from a master device [4]





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Embedded Software Implementations: Signature Schemes



scheme	NIST security level	key gen (av	sign vg. kcycle	verify es)	program memory (kB)	key gen sta	sign v ck (kB)	verify	ref.
ECDSA	_	327	375	976	8.9		≤2		[1]
Dilithium	2	1597	4095	1572	18	38	49	36	[2]
Falcon	1	162463	38999	474	121	1.4	2.6	0.4	[2]
Picnic	1	60	303854	203717	81	0.8	32	32	[2]
SPHINCS+	1	16112	400443	22548	4.5	2.1	2.2	2.7	[2]
XMSS	(1)	243255	247726	3207	-	4.0	4.0	3.8	[5]

Falcon well-suited for verify-only use cases

▶ Dilithium has very balanced performance – verify can run with <8 kB [6]



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FPGA

- "field-programmable gate array"
- reconfigurable hardware
- area metrics: lookup tables, flip flops, DSPs, block RAM
- speed metrics: latency, throughput

ASIC

"application-specific integrated circuit"

- not reconfigurable
- area metrics: area in mm²
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SW / HW Codesign

- idea 1: new instructions for CPUs that speed up PQC schemes (instruction set extension)
- ▶ idea 2: whole co-processors
- proof of concept usually in FPGAs
- emerging: RISC-V is easily exensible



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Easy: Fast, but large area.

Easy: Small area, but slow.

The art: Low area-latency product.

Hardware Implementations: FPGA Implementations



- ► Kyber [7]
- ► Saber [8]
- ► McEliece [9]
- ▶ Dilithium [10–14]

- ► Falcon [13]
- ► Rainbow [15]
- ▶ BIKE [16, 17]

▶ ...

▶ sNTRU Prime [18, 19]

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FPGA Implementations: BIKE



- first set of implementations: feasibility shown, already high speed and low area variant
- second set: vast improvements in both dimensions, additional mid-range core

LUTs	FFs	KeyGen μs	Encaps µs	Decaps μs	Ref.
13k	5354	21903	1252	13349	[16]
53k	7035	2691	127	1972	[16]
12k	3896	3797	443	6896	[17]
20k	5008	1870	280	4210	[17]
26k	5426	1672	132	1892	[17]





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FPGA Implementations: Dilithium



- follow-up [11]: faster, supports all security levels
- novel idea [14]: run Saber and Dilithium with the same polynomial multiplier

LUTs	FFs	KeyGen μs	Sign μs	Verify μs	Ref.
27k	11k	134	470	121	[10]
30k	10k	43	290	46	[11]
18k	9k	71	494	75	[14]



FPGA Implementations: Dilithium



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- follow-up [11]: faster, supports all security levels
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Hardware Implementations: ASICs and SW/HW Codesigns

ASICs

- only few implementations published: Kyber [20], Saber [21]
- FPGA implementations already good indicator of hardware cost

HW / SW Codesign

- RISC-V instruction set extensions for finite field arithmetic: low-level acceleration applicable to many schemes
- ▶ multiple works on this [22–24]
- biggest improvement would be: SHA-3 accelerator (some lattice-based schemes have up to 86% hashing, hash-based up to 95% [2])



Implementations



Don't sleep on these numbers, there is progress.



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PQC and Side-Channel Attacks



devices everywhere



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PQC and Side-Channel Attacks



devices everywhere, attackers everywhere





PQC and Side-Channel Attacks



devices everywhere, attackers everywhere

security?





PQC and Side-Channel Attacks: Attacker Models



secure scheme (under certain assumptions) \neq secure implementation!

Kyber is mathematically secure under certain assumptions, but there are side-channel attacks that find the secret key.



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PQC and Side-Channel Attacks: Attacker Models



Has an attacker pyhsical access to the device?

No? Then we still need execution time independent from secret data.



Side-Channel Attacks



no physical access					
timing depends on secrets	\Rightarrow	measure execution time			
physical access, passive					
processing a 1 drains more power / emanates more electromagnetic radiation than a 0	\Rightarrow	measure power / EM			

physical access, active

induce a fault, learn information about the secret key from the output





Side-Channel Attacks



timing depends on secrets	measure execution time

physical access, passive					
processing a 1 drains more power / emanates more electromagnetic radiation than a 0	\Rightarrow	measure power / EM			



Side-Channel Attacks: Countermeasures



- CPU / hardware processes secrets
- attacker measures side-channel, finds out secrets
- ▶ hide secrets from CPU / hardware
- ▶ split secret into uniform random shares, perform computation on shares
- highly algorithm-specific!





good: many schemes have masked SW implementations

Kyber [25, 26], Saber [27, 28], ...





good: many schemes have masked SW implementations Kyber [25, 26], Saber [27, 28], ...

bad: some of them are already broken again

Kyber [29], Saber [30]

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Side-Channel Resistant Implementations



good: we already know some fault attacks Kyber [31–33], Survey for lattice-based schemes [34]





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Side-Channel Resistant Implementations



good: we already know some fault attacks Kyber [31–33], Survey for lattice-based schemes [34]

bad: for some, it is unclear how to prevent them efficiently





bad: for some components, we do not yet know how to mask them

Gaussian sampling? fixed-weigth sampling?





bad: for some components, we do not yet know how to mask them

Gaussian sampling? fixed-weigth sampling?

good: there is progress

masking polynomial inversion [35]





bad: micro-architectural leakage often kills secure-proven gadgets





bad: micro-architectural leakage often kills secure-proven gadgets

bad: there are only few HW masked implementations





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more research necessary



Conclusion



Use cases must be planned carefully. Memory – Bandwidth – Latency – Throughput – Attack Scenarios Research just started in many fields. It will narrow and intensify once there are standards. Questions? Ideas? Suggestions?

Ask me, contact me: georg.land@rub.de

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